

A MEETING of the AUDIT AND SCRUTINY COMMITTEE will be held in the COUNCIL

HEADQUARTERS, NEWTOWN ST BOSWELLS on MONDAY, 13 NOVEMBER 2017 at 10.00 am

**As previously agreed, there will be a meeting of Members of the Committee at 9.30 a.m. prior to the main meeting.**

J. J. WILKINSON,  
Clerk to the Council,  
6 November 2017

<b>BUSINESS</b>		
1.	<b>Apologies for Absence.</b>	
2.	<b>Order of Business.</b>	
3.	<b>Declarations of Interest.</b>	
4.	<b>Minute.</b> (Pages 3 - 8)  Minute of Meeting of the Audit and Scrutiny Committee held on 25 September 2017 to be approved and signed by the Chairman. (Copy attached.)	5 mins
5.	<b>Risk Management in Services</b>  Presentation by Service Director Assets & Infrastructure on the strategic risks facing the service and the internal controls and governance in place to manage/mitigate those risks to demonstrate how risk management is embedded within services. (Verbal presentation.)	30 mins
6.	<b>Internal Audit Work to October 2017.</b> (Pages 9 - 18)  Consider a report by Chief Officer Audit & Risk on findings from recent work carried out by Internal Audit, including the recommended audit actions agreed by Management to improve internal controls and governance arrangements, and other work in progress to deliver the approved Internal Audit Annual Plan 2017/18. (Copy attached)	15 mins
7.	<b>Progress with Implementation of Internal Audit Recommendations.</b> (Pages 19 - 26)  Consider a report by Chief Officer Audit & Risk on the status of the implementation by Management of audit recommendations made and agreed in Internal Audit reports during 2017/18 and previous years (Copy attached)	15 mins
8.	<b>Internal Audit Mid-Term Performance Report 2017/18.</b> (Pages 27 - 38)	15 mins

	Consider a report by Chief Officer Audit & Risk on progress Internal Audit has made during the first half of the year towards completing the Annual Plan 2017/18 and an outline of performance. (Copy attached)	
9.	<b>Mid-Term Treasury Management Report 2017/18.</b> (Pages 39 - 54)  Consider mid-term report by Chief Financial Officer on the Council's Treasury Management activities undertaken during first half of financial year 2017/18 for review and scrutiny prior to Council approval. (Copy attached.)	15 mins
10.	<b>Any Other Items Previously Circulated.</b>	
11.	<b>Any Other Items which the Chairman Decides are Urgent.</b>	

#### NOTES

1. **Timings given above are only indicative and not intended to inhibit Members' discussions.**
2. **Members are reminded that, if they have a pecuniary or non-pecuniary interest in any item of business coming before the meeting, that interest should be declared prior to commencement of discussion on that item. Such declaration will be recorded in the Minute of the meeting.**

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**Membership of Committee:-** Councillors S. Bell (Chairman), H. Anderson, K. Chapman, J. A. Fullarton, S. Hamilton (Vice-Chairman), N. Richards, H. Scott, R. Tatler and E. Thornton-Nicol

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